

S.01.02 — Basic information

General comments:

This section relates to the quarterly and annual submission of information for groups.

	<i>ITEM</i>	<i>INSTRUCTIONS</i>
C0010/R0010	Participating undertaking name	Legal name of the participating insurance and reinsurance undertaking or insurance holding company or mixed financial holding company at the head of the insurance or reinsurance group. Needs to be consistent over different submissions.
C0010/R0020	Group identification code	Identification code of the participating undertaking, using the Legal Entity Identifier ('LEI').
C0010/R0025	Name of the group	This item corresponds to the legal name of group.
C0010/R0050	Country of the group supervisor	Identify the ISO 3166-1 alpha-2 Code of the country of the group supervisor
C0010/R0060	Sub-group information	Identify if the information relates to a subgroup in accordance with Article 216 of Directive 2009/138/EC. One of the options in the following closed list shall be used: 1 — No sub-group information 2 — Sub-group information
C0010/R0070	Language of reporting	Identify the 2-letter code of ISO 639-1 code of the language used in the submission of information
C0010/R0080	Reporting submission date	Identify the ISO 8601 (yyyy-mm-dd) code of the date when the reporting to the supervisory authority is made
C0010/R0081	Financial year end	Identify the ISO 8601 (yyyy-mm-dd) code of the financial year end of the undertaking, e.g. 2017-12-31
C0010/R0090	Reporting reference date	Identify the ISO 8601 (yyyy-mm-dd) code of the date identifying the last day of the reporting period
C0010/R0100	Regular/Ad-hoc submission	Identify if the submission of information relates to regular submission of information or ad-hoc. The following closed list of options shall be used: 1 — Regular reporting

		<p>2 — Ad-hoc reporting</p> <p>4 — Empty submission</p>
C0010/R0110	Currency used for reporting	Identify the ISO 4217 alphabetic code of the currency of the monetary amounts used in each report
C0010/R0120	Accounting standards	<p>Identification of the accounting standards used for reporting items in S.02.01, financial statements valuation. The following closed list of options shall be used:</p> <p>1 — International Financial Reporting Standards ('IFRS')</p> <p>2 — Local generally accepted accounting principles ('GAAP')</p>
C0010/R0130	Method of Calculation of the group SCR	<p>Identify the method used to calculate the group SCR. The following closed list of options shall be used:</p> <p>1 — Standard formula</p> <p>2 — Partial internal model</p> <p>3 — Full internal model</p>
C0010/R0140	Use of group specific parameters	<p>Identify if the group is reporting figures using group specific parameters. The following closed list of options shall be used:</p> <p>1 — Use of group specific parameters</p> <p>2 — Don't use group specific parameters</p>
C0010/R0150	Ring-Fenced Funds	<p>Identify if the group is reporting activity by Ring Fenced Funds (RFF). The following closed list of options shall be used:</p> <p>1 — Reporting activity by RFF</p> <p>2 — Not reporting activity by RFF</p>
C0010/R0160	Method of group solvency calculation	<p>Identify the group solvency calculation method. The following closed list of options shall be used:</p> <p>1 — Method 1 is used exclusively</p> <p>2 — Method 2 is used exclusively</p> <p>3 — A combination of method 1 and method 2 is used</p>
C0010/R0170	Matching adjustment	Identify if the group is reporting figures using the matching adjustment ('MA'). The following closed list of options shall be used:

		1 — Use of matching adjustment 2 — No use of matching adjustment
C0010/R0180	Volatility adjustment	Identify if the group is reporting figures using the volatility adjustments. The following closed list of options shall be used: 1— Use of volatility adjustment 2 - No use of volatility adjustment
C0010/R0190	Transitional measure on the risk-free interest rate	Identify if the group is reporting figures using the transitional adjustment to the relevant risk-free interest rate term structure. The following closed list of options shall be used: 1 — Use of transitional measure on the risk-free interest rate 2 — No use of transitional measure on the risk-free interest rate
C0010/R0200	Transitional measure on technical provisions	Identify if the group is reporting figures using the transitional deduction to technical provisions. The following closed list of options shall be used: 1 — Use of transitional measure on the technical provisions 2 — No use of transitional measure on the technical provisions
C0010/R0210	Initial submission or re-submission	Identify if it is an initial submission of information or a re-submission of information in relation to a reporting reference date already reported. The following closed list of options shall be used: 1 — Initial submission 2 — Re-submission



C0010/R0250	Exemption of reporting ECAI information	<p>One of the options in the following closed list shall be used:</p> <ul style="list-style-type: none">1 - Exempted for assets (based on Article 35(6) and (7))2 - Exempted for assets (based on outsourcing)3 - Exempted for derivatives (based on Article 35(6) and (7))4 - Exempted for derivatives (based on outsourcing)5 - Exempted for assets and derivatives (based on Article 35(6) and (7))6 - Exempted for assets and derivatives (based on outsourcing)0 - Not exempted
C0010/R0255	Direct URL to the webpage where the Solvency and Financial Condition Report is disclosed	<p>Include the direct URL to the page where the Solvency and Financial Condition Report (SFCR) corresponding to the reporting reference date as filled in C0010/R0081 "Financial year end" will be published.</p> <p>In case undertaking has no webpage, "UNDERTAKING WITH NO WEBSITE" value should be reported.</p>
C0010/R0260	Direct URL to download the Solvency and Financial Condition Report	<p>Include the direct URL to download the Solvency and Financial Condition Report (SFCR) corresponding to the reporting reference date as filled in C0010/R0081 "Financial year end".</p> <p>The URL shall directly link to the file containing the SFCR and not to a webpage.</p> <p>Alternatively, if the SFCR file is already available at the submission date, or if the SFCR is not disclosed in a webpage, the file shall be included in the annual submission and in this cell choose one of the following options:</p> <p>"SFCR file provided"</p> <p>"SFCR file not provided"</p> <p>If "File not provided" is chosen an explanation needs to be provided to the national competent authority.</p>



C0010/R0270	Captive Business	<p>Identify if any undertaking belonging to the group performs a captive business in line with the definition in Article 13 of Directive 2009/138/EC.</p> <p>One of the options in the following closed list shall be used:</p> <p>1 – Captive business</p> <p>2 – No captive business</p>
C0010/R0280	Run-Off Business	<p>Identify if any undertaking belonging to the group no longer write new business for any LoB, but still holds contracts belonging to that LoB.</p> <p>One of the options in the following closed list shall be used:</p> <p>One of the options in the following closed list shall be used:</p> <p>1- Undertakings running-off a portfolio of contracts but not their whole business (partial run-off undertaking or undertaking with run-off portfolio);</p> <p>2 - Undertakings running-off their whole (previous) business (full run-off undertaking);</p> <p>3 - Undertakings with a run-off business model (specialised run-off undertakings) - insurance undertakings or groups whose business model is to actively acquire legacy portfolios or whole insurers in run-off.</p> <p>4 – No run-off business</p>
C0010/R0290	M&A during period	<p>Identify if mergers or acquisitions or disposal of activities affecting the information reported occurred in the group during the reporting period.</p> <p>One of the options in the following closed list shall be used:</p> <p>1 — Yes</p> <p>2 — No</p>